

Triage tools for ICU admission during COVID-19

Rapid review question

What triage tools are available to guide decisions about admission to ICU during COVID-19?

In brief

Covid-19

- There is considerable guidance around general principles for triaging patients to intensive care units during COVID-19. Key themes include; have decisions made by at least three physicians, multidisciplinary, shared and ethical decision making, documentation and transparency, reserving ICU admission for patients requiring ICU-specific interventions and not using age, on its own, as criteria.
- For COVID-19 specifically guidance is available including; NICE rapid guidance including a critical care referral algorithm (which were updated on 31st March), and the Swiss Academy of Medical Sciences released guidelines for ICU triage. Criteria from opinion sources and other organisations were also identified
- Triage criteria is generally based on clinical criteria and probability of survival, with a recently published triage tool also including criteria on likely duration of stay
- Some of the guidance specifies that criteria apply to all patients potentially in need of ICU admission not only to COVID-19 infected patients

Pandemics

- There is a substantial number of triage tools available for use in pandemics, generally based on the probability of survival, set clinical criteria, and patient factors such as age
- Of the triage tools that have been evaluated, all tools were effective in either increasing ICU bed availability, predicting the likelihood of ICU admission or predicting the need for mechanical ventilation. The Ontario Health Plan for an Influenza Pandemic (OHPiP) triage tool provided the greatest increase overall when compared to the NSW protocol and the Simple Triage Scoring System (STSS)
- Ethics considerations are crucial under conditions of resource scarcity. Key ethical issues during pandemics include; triage and allocation, ethical concerns of patients and families, ethical responsibilities to providers, conduct of research, and international concerns.

Limitations

New evidence on this topic is emerging rapidly. Health systems differ in the models of critical care provided pre-COVID-19.

Background

As the COVID-19 outbreak spreads, it is anticipated that ICUs will need to prepare for a potential surge of critically ill patients. (1)

Methods (Appendix 1)

PubMed and the grey literature was searched on the 27 March and updated on the 13 April. Studies specifically on paediatric/neonate populations, studies with no abstract and older versions of the same guideline were excluded.

Results (Tables 1 -3)

Many publications provide guidance on general triage principles. These include:

- Decisions to deny or prioritise care must be discussed with at least three physicians with experience in the treatment of respiratory failure in the ICU. Multidisciplinary and ethical decision making support may also be useful (2, 3)
- Shared decision-making processes with other clinicians, patients and families is needed (4, 5)
- Clear reasons to deny or prioritise care must be documented in writing to ensure transparency (3, 4, 6)
- Decisions must be reviewed regularly by a centralised state-level monitoring committee to ensure that there are no inappropriate inequities (7)
- Baseline ultrasound, oxygen saturation as measured by pulse oximetry and telemedicine can be used to augment assessment and clinical decision making (8)
- The Clinical Frailty Score (CFS) is being used to augment clinical decision making (3)
- On the 31st March 2020 NICE updated their critical care guidelines based on concerns raised by patient groups. Recommendations now clearly highlight the limitations of using the CFS as the sole assessment of frailty and highlights groups where this should not be used (5)
- The WHO identified the Integrated Interagency Triage Tool
- Age on its own is not recommended as a suitable criteria to decide on disproportionate care (2, 3, 5)
- Reserving ICU admission for patients requiring ICU-specific interventions has been recommended by medical societies. This may necessitate the following:
 - I. Extended stays in the Emergency Department or Recovery
 - II. Admission to areas capable of high dependency level monitoring
 - III. Additional support/supervision for ward staff to manage patients of higher acuity (2, 4)
- Processes to expedite discharge from ICU should be implemented – for example, additional support for ward staff to manage patients of higher acuity or rapid decanting of patients to areas with greater clinical oversight (4)
- Criteria in resource-limited circumstances may be flexible and adaptable, and apply to all patients potentially in need of ICU admission, not only to COVID-19 infected patients (4, 6)

Emerging considerations

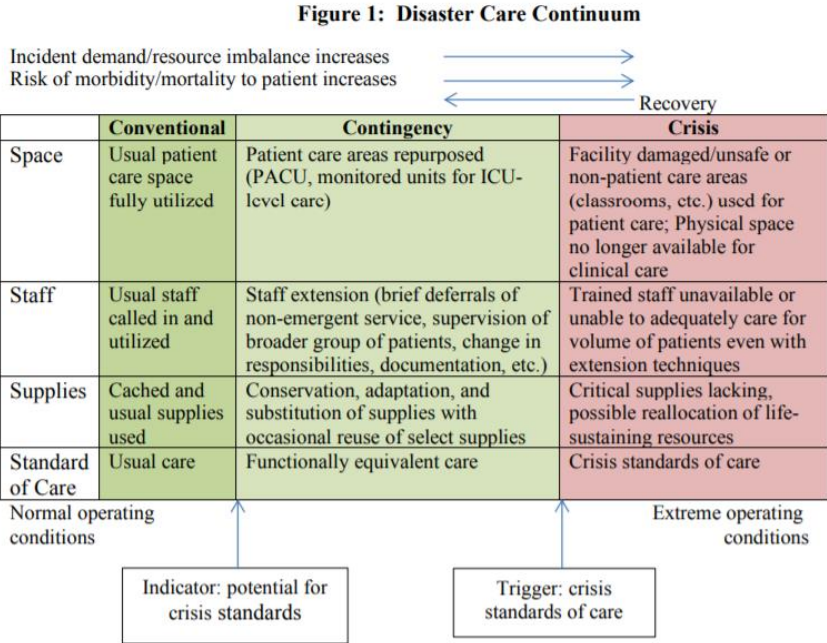
Mathematical modelling and analysis is being used to develop insights and policies for making bed allocation decisions in an intensive care unit (9)

Ethical considerations

The most pressing ethical issues addressed in guidance from the American College of Chest Physicians include; triage and allocation, ethical concerns of patients and families, ethical responsibilities to providers, conduct of research, and international concerns. (7) The widely recognised principles of medical ethics including beneficence, non-maleficence, respect for autonomy and equity remain crucial under conditions of resource scarcity. (2, 6)

Table 1: Triage criteria in COVID-19

Study	Country	Study type	Triage framework/criteria
Sokol 2020 (10)	UK	Decision Making for Intensive Care Triage in COVID-19 Emergency	<p>Triage teams, which should include at least two intensive care doctors, will be responsible for making decisions using the following criteria:</p> <ol style="list-style-type: none"> 1. Clinical suitability for ICU admission (high, moderate, low) 2. Likely duration of stay in ICU (short, medium, long) <pre> graph TD A[Assess clinical suitability for ICU admission] --> B((High Priority admit first)) A --> C((Moderate Priority admit second)) A --> D((Low Priority)) D --> E((Treat on medical ward)) B --> F[If more than one patient remains in each category] C --> F F --> G((Likely duration of stay in ICU)) G --> H((Short < 2 days admit first)) G --> I((Medium 3-7 days admit next)) G --> J((Long > 8 days admit last)) H --> K[If more than one patient remains in each category] I --> K J --> K K --> L[Prioritise based on date of first admission to institution unless patient is HCW involved in care/treatment of COVID-19 patients] </pre>

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<p>Bateman et al. 2020 (11)</p> <p>The Commonwealth of Massachusetts Executive Office of Health and Human Services</p>	<p>US</p>	<p>Crisis Standards of Care Planning Guidance for the COVID-19 Pandemic</p>	<p>Disaster care continuum:</p> <p style="text-align: center;">Figure 1: Disaster Care Continuum</p>  <p>Incident demand/resource imbalance increases → Risk of morbidity/mortality to patient increases → ← Recovery</p> <table border="1" data-bbox="882 328 1706 715"> <thead> <tr> <th></th> <th>Conventional</th> <th>Contingency</th> <th>Crisis</th> </tr> </thead> <tbody> <tr> <td>Space</td> <td>Usual patient care space fully utilized</td> <td>Patient care areas repurposed (PACU, monitored units for ICU-level care)</td> <td>Facility damaged/unsafe or non-patient care areas (classrooms, etc.) used for patient care; Physical space no longer available for clinical care</td> </tr> <tr> <td>Staff</td> <td>Usual staff called in and utilized</td> <td>Staff extension (brief deferrals of non-emergent service, supervision of broader group of patients, change in responsibilities, documentation, etc.)</td> <td>Trained staff unavailable or unable to adequately care for volume of patients even with extension techniques</td> </tr> <tr> <td>Supplies</td> <td>Cached and usual supplies used</td> <td>Conservation, adaptation, and substitution of supplies with occasional reuse of select supplies</td> <td>Critical supplies lacking, possible reallocation of life-sustaining resources</td> </tr> <tr> <td>Standard of Care</td> <td>Usual care</td> <td>Functionally equivalent care</td> <td>Crisis standards of care</td> </tr> </tbody> </table> <p>Normal operating conditions Extreme operating conditions</p> <p style="text-align: center;">Indicator: potential for crisis standards Trigger: crisis standards of care</p> <p>This triage process involves several steps, detailed below:</p> <ol style="list-style-type: none"> 1. Calculating each patient’s priority score based on the multi-principle allocation framework; 2. Assigning each patient to a priority group (to which hospitals may assign colour codes); and 3. Determining on a frequent basis how many priority groups will receive access to critical care interventions. 		Conventional	Contingency	Crisis	Space	Usual patient care space fully utilized	Patient care areas repurposed (PACU, monitored units for ICU-level care)	Facility damaged/unsafe or non-patient care areas (classrooms, etc.) used for patient care; Physical space no longer available for clinical care	Staff	Usual staff called in and utilized	Staff extension (brief deferrals of non-emergent service, supervision of broader group of patients, change in responsibilities, documentation, etc.)	Trained staff unavailable or unable to adequately care for volume of patients even with extension techniques	Supplies	Cached and usual supplies used	Conservation, adaptation, and substitution of supplies with occasional reuse of select supplies	Critical supplies lacking, possible reallocation of life-sustaining resources	Standard of Care	Usual care	Functionally equivalent care	Crisis standards of care
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<p>NICE, 2020 (5)</p>	<p>UK</p>	<p>Critical care guideline</p>	<p>NICE National Institute for Health and Care Excellence COVID-19 rapid guideline: critical care in adults (Last update: 27 March 2020)</p> <p>Adult admitted to hospital</p> <p>Assess frailty Patient aged over 65, without stable long-term disabilities (for example, cerebral palsy), learning disabilities or autism: use Clinical Frailty Scale (CFS) score as part of a holistic assessment. Any patient aged under 65, or patient of any age with stable long-term disabilities (for example, cerebral palsy), learning disabilities or autism: do an individualised assessment of frailty. Do not use CFS score. Consider comorbidities and underlying health conditions in all cases</p> <p>More frail based on assessment: - for example, CFS score of 5 or more</p> <p>Less frail based on assessment: - for example, CFS score under 5, AND would like critical care treatment</p> <p>Critical care considered appropriate / Critical care not considered appropriate</p> <p>Initial management outside of critical care / Initial management</p> <p>Ward-level care safe currently: continue to review / Condition deteriorates</p> <p>Condition improves / Condition deteriorates</p> <p>Ward-level care safe currently: continue to review / Refer to critical care</p> <p>Ward-level care safe currently: continue to review / End-of-life care</p> <p><small>This is a summary of the advice in the NICE COVID-19 rapid guideline: critical care. © NICE 2020. All rights reserved. Subject to Notice of rights.</small></p>
<p>Swiss Medical Weekly, 2020 (12)</p>	<p>Switzerland</p>	<p>COVID-19 pandemic: triage for intensive-care treatment under resource scarcity</p>	<p>Stage A: ICU beds available, but capacity limited → Admission triage / resource management through decisions on discontinuation of treatment</p> <p>Stage B: No ICU beds available → Admission triage / resource management through decisions on discontinuation of treatment</p> <p>At Stage B, cardiopulmonary resuscitation is not to be undertaken, except for very brief resuscitation measures in the event of a cardiac arrest occurring in the course of medical interventions (e.g. asystole during spinal anaesthesia).</p> <p>Initial triage: criteria for ICU admission Step 1: Does the patient have any of the following inclusion criteria?</p>

Study	Country	Study type	Triage framework/criteria
			<ul style="list-style-type: none"> • Requirement for invasive ventilatory support? • Requirement for hemodynamic support with vasoactive agents (noradrenaline-equivalent dose >0.1 µg/kg/ min)? <p>If one of these inclusion criteria is fulfilled → Step 2</p> <p>Step 2: Does the patient have any of the following exclusion criteria?</p> <p>Stage A</p> <ul style="list-style-type: none"> • Patient’s wishes (advance directive, etc.) • Unwitnessed cardiac arrest, recurrent cardiac arrest, cardiac arrest with no return of spontaneous circulation • Malignant disease with a life expectancy of less than 12 months • End-stage neurodegenerative disease • Severe and irreversible neurological event or condition • Chronic condition: <ul style="list-style-type: none"> • NYHA class IV heart failure • COPD GOLD 4 (D) • Liver cirrhosis, Child-Pugh score >8 • Severe dementia • Severe circulatory failure, treatment-resistant despite increased vasoactive dose (hypotension and/or persistent inadequate organ perfusion) • Estimated survival <12 months <p>Stage B</p> <p>The following additional criteria are applied:</p> <ul style="list-style-type: none"> • Severe trauma • Severe burns (>40% of total body surface area affected) with inhalation injury • Severe cerebral deficits after stroke • Chronic condition: NYHA class III or IV heart failure • COPD GOLD 4 (D) or COPD A–D with either FEV1 <25% or cor pulmonale or home oxygen therapy (long-term oxygen therapy) • Liver cirrhosis with refractory ascites or encephalopathy > stage I • Stage V chronic kidney disease (KDIGO) • Moderate dementia (confirmed)

Study	Country	Study type	Triage framework/criteria
			<ul style="list-style-type: none"> • Age >85 years • Age >75 years and at least one criterion • Liver cirrhosis • Stage III chronic kidney disease (KDIGO) • NYHA class >I heart failure • Estimated survival <24 months <p>If one of the exclusion criteria is fulfilled, the patient is not to be admitted to the ICU.</p>
<p>The Australian and New Zealand Intensive Care Society (ANZICS), 2020</p>	<p>Australia</p>	<p>Principles</p>	<p>Decisions regarding admission to ICU during a pandemic should reflect routine intensive care practice, where the clinical judgement of the treating Intensivist is paramount, and there is a shared decision-making process with other clinicians, patients and their families.</p> <p>In the event of an overwhelming demand for critical care services we recommend the following principles should be considered for admission to the ICU:</p> <ul style="list-style-type: none"> • The decision-making process should be open, transparent, reasonable and inclusive of patients, their families, ICU and non-ICU staff. • Similar ICU admission criteria should apply to all patients across all jurisdictions, and equally to patients with pandemic illness and those with other conditions. <p>Senior Intensive Care medical staff, recognising available resources, should consider the probable outcome of the patient’s condition, the burden of ICU treatment for the patient and their family, patients’ comorbidities and wishes, and likelihood of response to treatment.</p>
<p>Sun, 2020 (13)</p>	<p>China</p>	<p>Letter to editor</p>	

Study	Country	Study type	Triage framework/criteria
Sokol, 2020 (14)		BMJ Opinion	

Study	Country	Study type	Triage framework/criteria
			<p style="text-align: center;">Decision-Making Flowchart for Covid-19 ITU/Critical Care Admission</p> <p style="text-align: center;">This flowchart was created by Daniel Sokol, PhD, on 24th March 2020. E-mail: daniel.sokol@talk21.com, Twitter: @DanielSokol9, Website: www.medicalethicist.net</p> <p style="text-align: center;">© Daniel Sokol 2020</p>

Study	Country	Study type	Triage framework/criteria
Ministry of Health (15)	Sri Lanka	Clinical practice guideline	<p>Criteria for ICU admission to the dedicated ICU Referral and decision for ICU admission:</p> <ol style="list-style-type: none"> 1. Confirmed patients with COVID 19 AND 2. Acute and potentially reversible organ dysfunction poorly responding to initial resuscitation a. Severe respiratory failure or intubated (SpO2 /FiO2 ratio < 200) b. Refractory circulatory shock (SBP < 90 mmHg, Lactate > 4) c. More than single organ failure AND 3. Patient has adequate physiological reserves to survive critical illness eg; good baseline organ functions without significant chronic co-morbidities AND 4. Goals of ICU admission are defined. e.g; for full escalation of organ supports, limited escalation for 48 hours <p>Referral and decision for ICU admission</p> <ol style="list-style-type: none"> 1. Any physician or experienced member of the treating team may refer patients to designated ICU for admission of critically ill COVID 19 patients. 2. In addition, nursing staff, or members of the outreach/medical emergency team where one exists, may need to alert the ICU medical staff directly in circumstances of unusual urgency. 3. Consultant in-charge of the ICU or experienced member of the ICU team should carefully assess the patients trajectory and agree with the referring team to admit only those who will be potentially salvageable/ benefited by ICU care. 4. The referring team shall maintain responsibility for the patient up to admission to ICU, and shall remain responsible for ongoing management if admission is refused or deferred. <p>Discharging patients from ICU:</p> <ul style="list-style-type: none"> • Patient step down /discharge from the ICU to a HDU or ward has to be carefully and rapidly planed as the demand for bed will rise exponentially leading to collapse of all the critical care services.

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			<ul style="list-style-type: none"> • Every patient should be daily assessed in ABCDE order to promptly de-escalate as they get better. De-escalation plan should be reviewed at least twice a day in-order to liberate patients from life sustaining measures early. • Patients stepped down from ICU/HDU should be send back to a separate cubicle in the cohort area for COVID 19 confirmed cases as some of them may still shed the virus at the time of the discharge. • Those who are with multiple co-morbidities and poor physiological reserves or unable to show expected progress during pre-determined ICU trial (eg; for 48 hours) should be either stepped down or not for further escalation in case of further deterioration. • Deceased patients with COVID 19 : Refer to the chapter on disposal of deceased
White, 2020 (16)	USA	Framework	<p>Multiprinciple Allocation Framework</p> <p>The scoring system applies to all patients presenting with critical illness, not merely those with the disease or disorders that have caused the public health emergency. This process involves two steps:</p> <ol style="list-style-type: none"> 1. Calculating each patient’s priority score based on the multi-principle allocation framework (table 1 and 2); 2. Determining each day how many priority groups will receive access to critical care interventions (table 3).

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			<p>Table 1. Multi-principle Strategy to Allocate Critical Care/Ventilators During a Public Health Emergency</p> <table border="1"> <thead> <tr> <th rowspan="2">Principle</th> <th rowspan="2">Specification</th> <th colspan="4">Point System*</th> </tr> <tr> <th>1</th> <th>2</th> <th>3</th> <th>4</th> </tr> </thead> <tbody> <tr> <td>Save the most lives</td> <td>Prognosis for short-term survival (SOFA score#)</td> <td>SOFA score < 6</td> <td>SOFA score 6-8</td> <td>SOFA score 9-11</td> <td>SOFA score ≥12</td> </tr> <tr> <td>Save the most life-years</td> <td>Prognosis for long-term survival (medical assessment of comorbid conditions)</td> <td>...</td> <td>Major comorbid conditions with substantial impact on long-term survival</td> <td>...</td> <td>Severely life-limiting conditions; death likely within 1 year</td> </tr> </tbody> </table> <p>#SOFA= Sequential Organ Failure Assessment; note that another measure of acute physiology that predicts in-hospital mortality, such as LAPS2 score, could be used in place of SOFA, but should similarly be divided into 4 ranges. *Scores range from 1-8, and persons with the lowest score would be given the highest priority to receive critical care beds and services.</p> <p>Table 2. Examples of Major Comorbidities and Severely Life Limiting Comorbidities*</p> <table border="1"> <thead> <tr> <th>Examples of Major comorbidities (associated with significantly decreased long-term survival)</th> <th>Examples of Severely Life Limiting Comorbidities (commonly associated with survival < 1 year)</th> </tr> </thead> <tbody> <tr> <td> <ul style="list-style-type: none"> Moderate Alzheimer’s disease or related dementia Malignancy with a < 10 year expected survival New York Heart Association Class III heart failure Moderately severe chronic lung disease (e.g., COPD, IPF) End-stage renal disease in patients < 75 Severe multi-vessel CAD Cirrhosis with history of decompensation </td> <td> <ul style="list-style-type: none"> Severe Alzheimer’s disease or related dementia Cancer being treated with only palliative interventions (including palliative chemotherapy or radiation) New York Heart Association Class IV heart failure plus evidence of frailty Severe chronic lung disease plus evidence of frailty Cirrhosis with MELD score ≥20, ineligible for transplant End-stage renal disease in patients older than 75 </td> </tr> </tbody> </table>	Principle	Specification	Point System*				1	2	3	4	Save the most lives	Prognosis for short-term survival (SOFA score#)	SOFA score < 6	SOFA score 6-8	SOFA score 9-11	SOFA score ≥12	Save the most life-years	Prognosis for long-term survival (medical assessment of comorbid conditions)	...	Major comorbid conditions with substantial impact on long-term survival	...	Severely life-limiting conditions; death likely within 1 year	Examples of Major comorbidities (associated with significantly decreased long-term survival)	Examples of Severely Life Limiting Comorbidities (commonly associated with survival < 1 year)	<ul style="list-style-type: none"> Moderate Alzheimer’s disease or related dementia Malignancy with a < 10 year expected survival New York Heart Association Class III heart failure Moderately severe chronic lung disease (e.g., COPD, IPF) End-stage renal disease in patients < 75 Severe multi-vessel CAD Cirrhosis with history of decompensation 	<ul style="list-style-type: none"> Severe Alzheimer’s disease or related dementia Cancer being treated with only palliative interventions (including palliative chemotherapy or radiation) New York Heart Association Class IV heart failure plus evidence of frailty Severe chronic lung disease plus evidence of frailty Cirrhosis with MELD score ≥20, ineligible for transplant End-stage renal disease in patients older than 75
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			<p>Table 3. Assigning Patients to Color-coded Priority Groups</p> <table border="1" data-bbox="880 229 1749 663"> <thead> <tr> <th colspan="2" data-bbox="880 229 1749 272">Use Raw Score from Multi-principle Scoring System to Assign Priority Category</th> </tr> <tr> <th data-bbox="880 277 1301 320">Level of Priority and Code Color</th> <th data-bbox="1308 277 1749 320">Priority score from Multi-principle Scoring System</th> </tr> </thead> <tbody> <tr> <td data-bbox="880 325 1301 424"> <p>RED Highest priority</p> </td> <td data-bbox="1308 325 1749 424"> <p>Priority score 1-3</p> </td> </tr> <tr> <td data-bbox="880 429 1301 544"> <p>ORANGE Intermediate priority (reassess as needed)</p> </td> <td data-bbox="1308 429 1749 544"> <p>Priority score 4-5</p> </td> </tr> <tr> <td data-bbox="880 549 1301 663"> <p>YELLOW Lowest priority (reassess as needed)</p> </td> <td data-bbox="1308 549 1749 663"> <p>Priority score 6-8</p> </td> </tr> </tbody> </table> <p>All patients who meet usual medical indications for ICU beds and ventilators are eligible and are assigned a priority score using a 1 to 8 scale (lower scores indicate higher likelihood of benefit from critical care), based on (1) patients' likelihood of surviving to hospital discharge, assessed with an objective measure of acute illness severity; and (2) patients' likelihood of achieving longer-term survival based on the presence or absence of comorbid conditions that influence survival.</p>	Use Raw Score from Multi-principle Scoring System to Assign Priority Category		Level of Priority and Code Color	Priority score from Multi-principle Scoring System	<p>RED Highest priority</p>	<p>Priority score 1-3</p>	<p>ORANGE Intermediate priority (reassess as needed)</p>	<p>Priority score 4-5</p>	<p>YELLOW Lowest priority (reassess as needed)</p>	<p>Priority score 6-8</p>
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<p>Karras 2020 (17)</p>	<p>US</p>	<p>Critical Care Triage in the Covid-19 Pandemic (opinion)</p>	<p>Guidelines for Limiting Care</p> <p>Criteria for the rationing of care depend on the numeric assessment of probability of survival and rely predominantly on clinical variables. These include:</p> <ul style="list-style-type: none"> • Respiratory failure/ARDS, shock, and multisystem organ failure (MSOF), particularly in elderly patients (with or without Covid-19) whose chances of survival are often poor despite best efforts. • High potential for death and prolonged ventilation in patients with prior severe chronic organ dysfunction; for example, end-stage heart failure, end-stage chronic obstructive pulmonary disease (COPD) or interstitial fibrosis, metastatic lung cancer, chronic, severe liver disease. 										

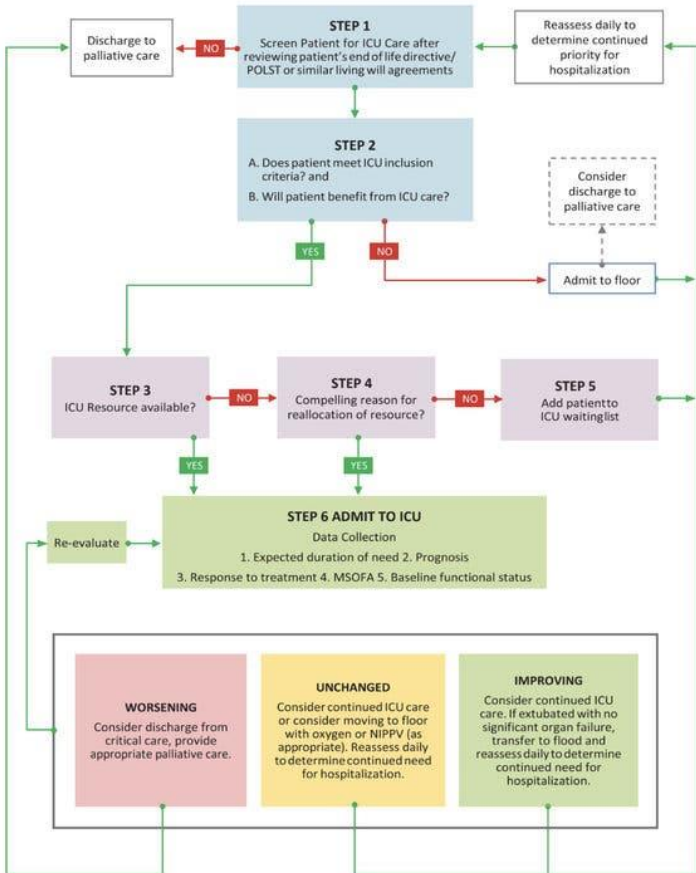
Study	Country	Study type	Triage framework/criteria
			<ul style="list-style-type: none"> Use Sequential Organ Failure Assessment (SOFA) score and its trajectory over the first forty-eight to seventy-two hours of ICU care to assist in severity of illness assessment. A score above 12 would preclude offering mechanical ventilation. <p>Periodic reassessment of patients on ventilatory support. Removal, if status is not improving, to make this resource available to other patients more likely to benefit.</p>
<p>Baker and Fink</p>	<p>US</p>	<p>New York Times article - Framework for critical care triage</p>	

Table 2: Triage criteria in pandemics

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Daughtery 2019 (18)	United States	Framework	<p>TABLE 1] Proposed Strategy for Ventilator Allocation in Epidemics of Novel Respiratory Pathogens</p> <table border="1"> <thead> <tr> <th rowspan="2">Principle</th> <th rowspan="2">Specification</th> <th colspan="4">Point System</th> </tr> <tr> <th>1</th> <th>2</th> <th>3</th> <th>4</th> </tr> </thead> <tbody> <tr> <td rowspan="2">Prognosis for short-term survival</td> <td rowspan="2">Adults (SOFA) or pediatrics (PELOD-2)</td> <td>SOFA score \leq 8</td> <td>SOFA score 9-11</td> <td>SOFA score 12-14</td> <td>SOFA score $>$ 14</td> </tr> <tr> <td>PELOD-2 \leq 12</td> <td>PELOD-2 12-13</td> <td>PELOD-2 14-16</td> <td>PELOD-2 \geq 17</td> </tr> <tr> <td>Prognosis for long-term survival</td> <td>Prognosis for long-term survival (assessment of comorbid conditions)</td> <td>...</td> <td>...</td> <td>Severe comorbid conditions; death likely within 1 y</td> <td>...</td> </tr> <tr> <td>Secondary consideration</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Lifecycle considerations</td> <td>Prioritize those who have had the least chance to live through life's stages (age)</td> <td>Age 0-49 y</td> <td>Age 50-69 y</td> <td>Age 70-84 y</td> <td>Age \geq 85 y</td> </tr> </tbody> </table> <p>Examples of severe comorbid conditions with associated life expectancy $<$ 1 year are listed. This list is meant as a guideline and is not exhaustive. Patients meeting the criteria of $<$ 1 y predicted survival based on what of the listed or other similar conditions should be assigned a score of 3. NYHA = New York Heart Association.</p> <ol style="list-style-type: none"> 1. NYHA class IV heart failure. 2. Advanced lung disease with FEV₁ $<$ 25% predicted, total lung capacity $<$ 60% predicted, or baseline P_aO₂ $<$ 55 mm Hg. 3. Primary pulmonary hypertension with NYHA class III or IV heart failure. 4. Chronic liver disease with Child-Pugh score $>$ 7. 5. Severe trauma. 6. Advanced untreatable neuromuscular disease. 7. Metastatic malignant disease or high-grade primary brain tumors. 	Principle	Specification	Point System				1	2	3	4	Prognosis for short-term survival	Adults (SOFA) or pediatrics (PELOD-2)	SOFA score \leq 8	SOFA score 9-11	SOFA score 12-14	SOFA score $>$ 14	PELOD-2 \leq 12	PELOD-2 12-13	PELOD-2 14-16	PELOD-2 \geq 17	Prognosis for long-term survival	Prognosis for long-term survival (assessment of comorbid conditions)	Severe comorbid conditions; death likely within 1 y	...	Secondary consideration						Lifecycle considerations	Prioritize those who have had the least chance to live through life's stages (age)	Age 0-49 y	Age 50-69 y	Age 70-84 y	Age \geq 85 y
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			<div style="text-align: center;"> <p>Triage Process Decision Flow</p> </div> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 5px; width: 20%;"> <p>1. Inclusion Criteria: A) Refractory hypoxia require ventilation B) Hypotension refractory to volume resuscitation & requiring vasopressor/inotropic support</p> </div> <div style="border: 1px solid black; padding: 5px; width: 30%;"> <p>2. Exclusion Criteria: A) Low Probability of Survival Criteria i. Cardiac arrest ii. Severe trauma iii. Severe Burns iv. Severe and irreversible neurologic event or condition v. Severe prematurity B) Short Life Expectancy Criteria ii. Metastatic malignancies iii. Hematologic malignancies with poor prognosis iv. End-stage organ failure with expected survival <1 year v. Very advanced age vi. Advanced and irreversible immunocompromise vii. Congenital anomalies with expected survival <1 year</p> </div> <div style="border: 1px solid black; padding: 5px; width: 15%;"> <p>3. Prioritization: Admit to ICU based upon priority (red vs yellow) as bed available</p> </div> <div style="border: 1px solid black; padding: 5px; width: 15%;"> <p>5. 72h Trial of care: Did patient meet the goals of the trial of care and is showing significant evidence of improvement?</p> </div> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px; width: 15%; margin-left: auto;"> <p>4. Daily re-assessment: Assess for development of an exclusion or discharge criteria</p> </div> <div style="margin-top: 20px;"> <pre> graph LR Start((Person)) --> D1{1} D1 -- No --> M1[Monitor & Re-assess as required] D1 -- Yes --> D2{2} D2 -- Yes --> M2[Medical Mgmt +/- Palliative Care] D2 -- No --> D3{3} D3 --> D4{4} D4 -- Yes --> M3[Discharge or Palliative Care] D4 -- No --> D5{5} D5 -- Meets trial of care (improvement) --> R1[Recovered] R1 --> D6[Discharge] D5 -- Fails trial of care --> M4[Palliative Care] </pre> </div>

Figure 5 – A conceptualized framework for how the critical care (tertiary) triage process and decisions would flow in a disaster or pandemic.

Study	Country	Study type	Triage framework/criteria																																			
Winsor 2014 (20)	Canada	Review article	<p>TABLE 1. SOFA scoring tool</p> <table border="1"> <thead> <tr> <th>Triage Code</th> <th>Criteria</th> <th>Action or Priority</th> </tr> </thead> <tbody> <tr> <td>Blue</td> <td>Exclusion criteria met or SOFA >11*</td> <td>Manage medically Provide palliative care as needed Discharge from critical care</td> </tr> <tr> <td>Red</td> <td>SOFA score ≤7 or single-organ failure</td> <td>Highest priority</td> </tr> <tr> <td>Yellow</td> <td>SOFA score 8–11</td> <td>Intermediate priority</td> </tr> <tr> <td>Green</td> <td>No significant organ failure</td> <td>Defer or discharge Reassess as needed</td> </tr> </tbody> </table> <p>*If an exclusion criteria is met or the Sequential Organ Failure Assessment (SOFA) score is >11 at any time from the initial assessment to 48 hours afterward, change the triage code to Blue and proceed as indicated. Source: Data from Christian et al. (2006).</p>	Triage Code	Criteria	Action or Priority	Blue	Exclusion criteria met or SOFA >11*	Manage medically Provide palliative care as needed Discharge from critical care	Red	SOFA score ≤7 or single-organ failure	Highest priority	Yellow	SOFA score 8–11	Intermediate priority	Green	No significant organ failure	Defer or discharge Reassess as needed																				
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Study	Country	Study type	Triage framework/criteria
			<p>1 New South Wales influenza pandemic triage protocol*</p> <p>Tier 1</p> <p>Do not offer AND withdraw life-sustaining therapy from patients with any of the following:</p> <ol style="list-style-type: none"> 1. Respiratory failure requiring intubation <i>with</i> persistent hypotension (systolic blood pressure < 90 mmHg for adults) unresponsive to fluid therapy after 6–12 hours <i>and</i> signs of additional end-organ dysfunction (eg, oliguria, decreased mental status, cardiac ischaemia) 2. Failure to respond to mechanical ventilation (no improvement in oxygenation or lung compliance) and antibiotics after 72 hours of treatment for a bacterial pathogen 3. Laboratory or clinical evidence of ≥ 4 organ systems failing: <ol style="list-style-type: none"> a. Pulmonary (acute respiratory distress syndrome, ventilatory failure, refractive hypoxia) b. Cardiovascular (left ventricular failure, hypotension, new ischaemia) c. Renal (hyperkalaemia, oliguria despite fluid resuscitation, increasing creatinine level) d. Hepatic (transaminase > 2 times normal upper limit, increased bilirubin or ammonia levels) e. Neurological (altered mental status not related to fluid volume status, metabolic or hypoxic source, stroke) f. Haematological (clinical or laboratory evidence of disseminated intravascular coagulation) g. Cirrhosis with ascites, history of variceal bleeding, fixed coagulopathy, or encephalopathy[†] h. Irreversible neurological impairment that makes the patient dependent for personal care (eg, severe stroke, congenital syndrome, persistent vegetative state)[†]

Study	Country	Study type	Triage framework/criteria
			<p>Tier 2 Do not offer AND withdraw life-sustaining therapy from patients in respiratory failure requiring intubation with the following conditions, in addition to those in Tier 1. Patients with pre-existing system compromise or failure including:</p> <ol style="list-style-type: none"> 1. Known congestive cardiac failure with ejection fraction < 25% (or persistent ischaemia unresponsive to therapy and pulmonary oedema) 2. Acute renal failure requiring haemodialysis 3. Severe chronic lung disease requiring home oxygen therapy[†] 4. Immunodeficiency syndromes at a stage where the patient is susceptible to opportunistic pathogens[†] 5. Active malignancy with poor potential for survival 6. Acute hepatic failure with hyperammonaemia <p>Tier 3 Specific triage protocols developed centrally and advised by specialist clinical groups:</p> <ol style="list-style-type: none"> 1. Restriction of treatment based on disease-specific epidemiology and survival data for patient subgroups[†] 2. Expansion of pre-existing disease classes that will not be offered ventilatory support 3. Applying SOFA scoring to the triage process, establishing a cut-off score[‡] <hr/> <p>SOFA = Sequential Organ Failure Assessment. *Reproduced with permission.^{5,7} The triage protocol applies to all patients undergoing assessment for possible critical care and not only those with influenza-like symptoms. Tier 1 is used initially; Tiers 2 and 3 can be sequentially activated later, as demand for intensive care unit resources escalates. † Denotes criteria in the NSW triage protocol⁵ that were modified from the original triage criteria.⁷ ‡ Refers to the prioritisation tool in the Ontario Health Plan for an Influenza Pandemic triage protocol (see Box 2). ◆</p> <p>Ontario triage protocol</p>

Study	Country	Study type	Triage framework/criteria																																
			<p>2 Ontario Health Plan for an Influenza Pandemic triage protocol*</p> <p>1. Assess whether the patient meets the inclusion criteria</p> <ul style="list-style-type: none"> • If yes, proceed to step 2 • If no, reassess patient later to determine whether clinical status has deteriorated <p>2. Assess whether the patient meets the exclusion criteria</p> <ul style="list-style-type: none"> • If no, proceed to step 3 • If yes, the patient is excluded from critical care† <p>3. Proceed to prioritisation tool — initial assessment</p> <p>Inclusion criteria The patient must have one of the following:</p> <p>A. Requirement for invasive ventilatory support</p> <p>B. Hypotension with clinical evidence of shock refractory to fluid resuscitation, and requiring vasopressor or inotrope support</p> <p>Exclusion criteria The patient is excluded from admission or transfer to critical care if any of the following is present:</p> <p>A. Severe trauma</p> <p>B. Severe burns of patient with any two of the following: age > 60 years; > 40% of total body surface area affected; inhalational injury</p> <p>C. Cardiac arrest: unwitnessed cardiac arrest; witnessed cardiac arrest, not responsive to electrical therapy; recurrent cardiac arrest</p> <p>D. Severe baseline cognitive impairment</p> <p>E. Advanced untreatable neuromuscular disease</p> <p>F. Metastatic malignant disease</p> <p>G. Advanced and irreversible immunocompromise</p> <p>H. Severe and irreversible neurological event or condition</p> <p>I. End-stage organ failure meeting the following criteria:</p> <p><i>Heart</i></p> <ul style="list-style-type: none"> • NYHA Class III or IV heart failure <p><i>Lungs</i></p> <ul style="list-style-type: none"> • COPD with FEV₁ < 25% predicted, baseline PaO₂ < 55mmHg, or secondary pulmonary hypertension • Cystic fibrosis with postbronchodilator FEV₁ < 30% or baseline PaO₂ < 55 mmHg <ul style="list-style-type: none"> • Pulmonary fibrosis with VC or TLC < 60% predicted, baseline PaO₂ < 55 mmHg, or secondary pulmonary hypertension • Primary pulmonary hypertension with NYHA Class III or IV heart failure, right atrial pressure > 10 mmHg, or mean pulmonary arterial pressure > 50 mmHg <p><i>Liver</i></p> <ul style="list-style-type: none"> • Child–Pugh score ≥ 7 <p>J. Age > 85 years</p> <p>K. Elective palliative surgery</p> <p>Prioritisation tool‡</p> <table border="1"> <thead> <tr> <th data-bbox="1384 464 1451 483">Criteria</th> <th data-bbox="1738 464 1890 483">Action or priority</th> </tr> </thead> <tbody> <tr> <td colspan="2" data-bbox="1384 499 1666 518">Initial (admission) assessment</td> </tr> <tr> <td data-bbox="1384 531 1720 550">Exclusion criteria met or SOFA score > 11</td> <td data-bbox="1738 531 2078 550">Exclude or discharge from critical care†</td> </tr> <tr> <td data-bbox="1384 563 1720 582">SOFA score ≤ 7 or single organ failure</td> <td data-bbox="1738 563 2078 608">Highest priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 620 1532 639">SOFA score 8–11</td> <td data-bbox="1738 620 2078 665">Intermediate priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 678 1621 697">No significant organ failure</td> <td data-bbox="1738 678 2078 697">Defer or discharge, reassess as needed</td> </tr> <tr> <td colspan="2" data-bbox="1384 710 1576 729">48-hour assessment</td> </tr> <tr> <td data-bbox="1384 742 1720 805">Exclusion criteria met or SOFA score > 11 or SOFA score stable at 8–11 with no change</td> <td data-bbox="1738 742 1980 761">Discharge from critical care</td> </tr> <tr> <td data-bbox="1384 818 1666 837">SOFA score < 11 and decreasing</td> <td data-bbox="1738 818 2078 863">Highest priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 876 1666 920">SOFA score stable at < 8 with no change</td> <td data-bbox="1738 876 2078 920">Intermediate priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 933 1688 952">No longer dependent on ventilator</td> <td data-bbox="1738 933 1980 952">Discharge from critical care</td> </tr> <tr> <td colspan="2" data-bbox="1384 965 1576 984">120-hour assessment</td> </tr> <tr> <td data-bbox="1384 997 1720 1042">Exclusion criteria met or SOFA score > 11 or SOFA score < 8 with no change</td> <td data-bbox="1738 997 1980 1016">Discharge from critical care</td> </tr> <tr> <td data-bbox="1384 1054 1666 1099">SOFA score < 11 and decreasing progressively</td> <td data-bbox="1738 1054 2078 1099">Highest priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 1112 1720 1157">SOFA score < 8 with minimal decrease (< 3-point decrease in past 72 hours)</td> <td data-bbox="1738 1112 2078 1157">Intermediate priority for access to critical care resources</td> </tr> <tr> <td data-bbox="1384 1169 1688 1189">No longer dependent on ventilator</td> <td data-bbox="1738 1169 1980 1189">Discharge from critical care</td> </tr> </tbody> </table>	Criteria	Action or priority	Initial (admission) assessment		Exclusion criteria met or SOFA score > 11	Exclude or discharge from critical care†	SOFA score ≤ 7 or single organ failure	Highest priority for access to critical care resources	SOFA score 8–11	Intermediate priority for access to critical care resources	No significant organ failure	Defer or discharge, reassess as needed	48-hour assessment		Exclusion criteria met or SOFA score > 11 or SOFA score stable at 8–11 with no change	Discharge from critical care	SOFA score < 11 and decreasing	Highest priority for access to critical care resources	SOFA score stable at < 8 with no change	Intermediate priority for access to critical care resources	No longer dependent on ventilator	Discharge from critical care	120-hour assessment		Exclusion criteria met or SOFA score > 11 or SOFA score < 8 with no change	Discharge from critical care	SOFA score < 11 and decreasing progressively	Highest priority for access to critical care resources	SOFA score < 8 with minimal decrease (< 3-point decrease in past 72 hours)	Intermediate priority for access to critical care resources	No longer dependent on ventilator	Discharge from critical care
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Cheung 2012 (24)	Australia	Evaluation protocol triage tool	<p>Influenza Pandemic ICU Triage (iPIT-1) protocol</p> <p>Appendix 1. Influenza Pandemic ICU Triage (iPIT-1) protocol</p> <p>Step 1: inclusion criterion Only admit patients requiring invasive ventilation or inotropes/vasopressors</p> <p>Step 2: exclusion criteria 1 Exclude the patient if they have any of the following conditions: A. Elective palliative surgery B. Severe trauma</p> <p>Step 3: exclusion criteria 2 Exclude the patient if they have any of the following conditions: A. Acute renal failure requiring dialysis B. Severe burns with any two of the following: age > 60 years; > 40% of total body surface area affected; inhalational injury C. Cardiac arrest with any of the following: unwitnessed cardiac arrest; witnessed arrest not responding to defibrillation or pacing; recurrent cardiac arrest D. Advanced untreatable neuromuscular disease</p> <p>Step 4: calculate Sequential Organ Failure Assessment (SOFA) score*</p> <table border="1" data-bbox="728 742 2027 1093"> <thead> <tr> <th rowspan="2">Variable</th> <th colspan="5">Score</th> </tr> <tr> <th>0</th> <th>1</th> <th>2</th> <th>3</th> <th>4</th> </tr> </thead> <tbody> <tr> <td>Respiratory: PaO₂/FiO₂</td> <td>> 400</td> <td>≤ 400</td> <td>≤ 300</td> <td>≤ 200</td> <td>≤ 100</td> </tr> <tr> <td>Haematological: platelet count, × 10⁶/L</td> <td>> 150</td> <td>≤ 150</td> <td>≤ 100</td> <td>≤ 50</td> <td>≤ 20</td> </tr> <tr> <td>Hepatic: bilirubin level, mg/dL (μmol/L)</td> <td>< 1.2 (< 20)</td> <td>1.2–1.9 (20–32)</td> <td>2.0–5.9 (33–100)</td> <td>6.0–11.9 (101–203)</td> <td>> 12 (> 203)</td> </tr> <tr> <td>Cardiovascular: hypotension[†]</td> <td>None</td> <td>Mean arterial blood pressure < 70 mmHg</td> <td>Dopamine ≤ 5</td> <td>Dopamine > 5; epinephrine ≤ 0.1; norepinephrine ≤ 0.1</td> <td>Dopamine > 15; epinephrine > 0.1; norepinephrine > 0.1</td> </tr> <tr> <td>Neurological: Glasgow Coma Scale</td> <td>15</td> <td>13–14</td> <td>10–12</td> <td>6–9</td> <td>< 6</td> </tr> <tr> <td>Renal: creatinine level, mg/dL (μmol/L)</td> <td>< 1.2 (< 106)</td> <td>1.2–1.9 (106–168)</td> <td>2.0–3.4 (169–300)</td> <td>3.5–4.9 (301–433)</td> <td>> 5 (> 434)</td> </tr> </tbody> </table> <p>* Adapted with kind permission from Springer Science and Business Media: Vincent et al.⁵ † Doses of dopamine, epinephrine and norepinephrine in μg/kg/min.</p>	Variable	Score					0	1	2	3	4	Respiratory: PaO ₂ /FiO ₂	> 400	≤ 400	≤ 300	≤ 200	≤ 100	Haematological: platelet count, × 10 ⁶ /L	> 150	≤ 150	≤ 100	≤ 50	≤ 20	Hepatic: bilirubin level, mg/dL (μmol/L)	< 1.2 (< 20)	1.2–1.9 (20–32)	2.0–5.9 (33–100)	6.0–11.9 (101–203)	> 12 (> 203)	Cardiovascular: hypotension [†]	None	Mean arterial blood pressure < 70 mmHg	Dopamine ≤ 5	Dopamine > 5; epinephrine ≤ 0.1; norepinephrine ≤ 0.1	Dopamine > 15; epinephrine > 0.1; norepinephrine > 0.1	Neurological: Glasgow Coma Scale	15	13–14	10–12	6–9	< 6	Renal: creatinine level, mg/dL (μmol/L)	< 1.2 (< 106)	1.2–1.9 (106–168)	2.0–3.4 (169–300)	3.5–4.9 (301–433)	> 5 (> 434)
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Study	Country	Study type	Triage framework/criteria
			<p>Step 5: exclusion criteria 3 Exclude the patient if they have the following result from Step 4: A. SOFA score \leq 8 B. SOFA score \geq 14</p> <p>Step 6: calculate number of organ systems failing[‡] Determine the number of following laboratory or clinical criteria for organ failure that the patient has present: A. Pulmonary: acute respiratory distress syndrome; ventilatory failure; refractive hypoxia B. Cardiovascular: left ventricular failure; hypotension; new ischaemia C. Renal: hyperkalaemia; oliguria despite fluid resuscitation; increasing creatinine D. Hepatic: transaminase levels more than twice the normal upper limit; increased bilirubin or ammonia levels E. Neurological: altered mental status not related to fluid volume status; metabolic or hypoxic source; stroke F. Haematological: clinical or laboratory evidence of disseminated intravascular coagulation G. Cirrhosis with ascites, history of variceal bleeding, fixed coagulopathy, or encephalopathy H. Irreversible neurological impairment that makes the patient dependent for personal care (eg, severe stroke, congenital syndrome, persistent vegetative state)</p> <p>Step 7: exclusion criterion 4 Exclude the patient if they have three or more criteria from Step 6 present</p> <p>Additional discharge criteria</p> <p>Step 8: discharge criterion 1 Between Day 2 (48 hours) and Day 6 (144 hours) after admission, discharge the patient if they are no longer receiving invasive mechanical ventilation</p> <p>Step 9: discharge criterion 2 On Day 7 (168 hours) after admission, discharge the patient from the ICU For patients excluded or discharged, continue non-ICU level care and provide palliative care if indicated</p> <hr/> <p>R. [‡] Adapted with kind permission from NSW Health — Policy Directive PD2010_028,² and John Wiley and Sons: Hick and O’Laughlin.⁴</p>

Table 2: Pandemic triage tools - outcomes

Study	Country	Study type	Tool	Outcomes
Adeniji 2011 (22)	United Kingdom	Retrospective review	STSS triage tool	The STSS group categorization demonstrated a better discriminating accuracy in predicting critical care resource usage (receiver operating characteristic area under the curve for ICU admission of 0.88 (0.78- 0.98) and need for MV of 0.91 (0.83-0.99). This compared to the staged SOFA score of 0.77 (0.65-0.89) and 0.87 (0.72-1.00) respectively. Low mortality rates limited analysis on survival predictions. The STSS accurately risk stratified according to their risk of death and predicted the likelihood of admission to critical care and the requirement for MV.
Cheung 2012 (23)	Australia	Comparative study of two tools with outcomes	NSW triage tool and Ontario Health Plan for an Influenza Pandemic (OHPIP)	The increases in ICU bed availability using Tiers 1, 2 and 3 of the NSW triage protocol were 3.5%, 14.7% and 22.7%, respectively, and 52.8% using the OHPIP triage protocol (P < 0.001). Re-evaluation after 12 hours incrementally increased ICU bed availability by 19.2%, 16.1% and 14.1%, respectively by tiers in the NSW protocol. The maximal cumulative increases in ICU bed availability using Tiers 1, 2 and 3 of the NSW triage protocol were 23.7%, 31.6% and 37.5%, respectively, at 72 hours (P < 0.001), and 65.0% using the OHPIP triage protocol, at 120 hours (P < 0.001). Both tools resulted in increases in ICU bed availability, but the OHPIP protocol provided the greatest increase overall.
Cheung 2012 (24)	Australia	Comparative study of two tools with outcomes	Influenza Pandemic ICU Triage (iPIT-1)	Applying the iPIT-1 protocol resulted in an increase in ICU bed availability at admission of 71.7% ± 0.6%.The iPIT protocol excludes patients with the lowest and highest ICU mortality, and provides increases in ICU bed availability. Adjusting the lower SOFA score exclusion limit provides a method of escalation or de-escalation to cope with demand.
Morton 2015 (21)	United Kingdom	Comparative study of two tools with outcomes	STSS and Ontario Health Plan for an Influenza Pandemic (OHPIP)	The OHPIP ratio predicted the need for mechanical ventilation with a receiver operating characteristic area under the curve of 0.885 (CI 0.817-0.952). The STSS score predicted the need for mechanical ventilation [ROC AUC 0.798 (CI 0.704-0.891)]. The reverse triage component of the OHPIP tool was a poor predictor of patient outcome. The OHPIP ratio was a better predictor of need for mechanical ventilation than STSS.

Appendix 1

PubMed Search string: ((((((2019-nCoV[title/abstract] or nCoV[title/abstract] or covid-19[title/abstract] or covid19[title/abstract] or "covid 19"[title/abstract] OR "coronavirus"[MeSH Terms] OR "coronavirus"[title/abstract]))) AND ("triage"[MeSH Terms] OR "triage"[title/abstract])) AND (("intensive care"[title/abstract] OR "ICU"[title/abstract] OR "critical care"[title/abstract] OR "Intensive Care Units"[MeSH Terms]))

PubMed Search string: ("pandemics"[MeSH Terms] OR pandemic*[title/abstract]) AND (((("intensive care"[title/abstract] OR "ICU"[title/abstract] OR "critical care"[title/abstract] OR "Intensive Care Units"[MeSH Terms])) AND ("triage"[MeSH Terms] OR "triage"[title/abstract]))

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Document history

Original search 27 March 2020	Updates 13 April
ICU triage	<ul style="list-style-type: none"> • Four new publications included • Key messages updated to include new triage tool also incorporating length of stay in tool • Added a limitations section • Methods updated to reflect new evidence check format